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PTO/SB/05 (1/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 0756-2061

First Inventor or Application Identifier: Yasuhiko TAKEMURA

Title: ELECTRO-OPTICAL DEVICE AND METHOD OF DRIVING AND  
MANUFACTURING THE SAME

Express Mail Label No. *[Redacted]*

09/453586  
P-1203/99

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. [X] Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original, and a duplicate for fee processing)*
2. [X] Specification *Total Pages [88]*  
*(preferred arrangement set forth below)*  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings *(if filed)*  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
3. [X] Drawing(s) (35 USC 113) *Total Sheets [15]*
4. [X] Oath or Declaration *Total Pages [2]*  
a. [ ] Newly executed (original or copy)  
b. [X] Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*  
*[Note Box 5 below]*  
i. [ ] DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. [X] Incorporation By Reference *(useable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b,  
is considered to be part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

ADDRESS TO:  
Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

6. [ ] Microfiche Computer Program *(Appendix)*
7. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*  
a. [ ] Computer Readable Copy  
b. [ ] Paper Copy *(identical to computer copy)*  
c. [ ] Statement verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

8. [ ] Assignment Papers *(cover sheet & document(s))*
9. [ ] 37 CFR 3.73(b) Statement *[ ] Power of Attorney*  
*(when there is an assignee)*
10. [ ] English Translation Document *(if applicable)*
11. [X] Information Disclosure Statement *[ ] Copies of IDS*  
*(IDS)/PTO-1449* *Citations*
12. [X] Preliminary Amendment
13. [X] Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
14. [ ] \*Small Entity *[ ] Statement filed in prior application,*  
Statement(s) *Status still proper and desired*  
*(PTO/SB/09-12)*
15. [ ] Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16. [X] Other: Notice of Change of Address

\*A new statement is required to be entitled to pay small entity fees,  
except where one has been filed in a prior application and is being  
relied upon.

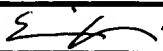
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Divisional of prior application Serial No. 08/978,597 filed November 26, 1997; which itself is a Division of application  
of Serial No. 08/419,956 filed April 10, 1995, now U.S. Patent 5,828,429; which is a Continuation of application Serial  
No. 07/959,918 filed October 14, 1992.

Prior application information: Examiner: K. Horney

Group/Art Unit: 2871

### 18. CORRESPONDENCE ADDRESS

[X] Customer Number or Bar Code Label	Customer No. 22204	or [X] Correspondence address below <i>(Insert Customer No. or Attach bar code label here)</i>
Name: Eric J. Robinson	Firm: SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, P.C.	
Address: 8180 Greensboro Drive, Suite 800		
City: McLean	State: VA	Zip Code: 22102
Country: U.S.A.	Telephone (703) 790-9110	FAX (703) 883-0370
Name: Eric J. Robinson	Registration No. 38,285	
Signature 	Date: December 3, 1999	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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## **FEE TRANSMITTAL**

*Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.*

<b>FEE TRANSMITTAL</b>  <small>Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.</small>		Complete If Known			
		Application Number			
		Filing Date		December 3, 1999	
		First Named Inventor		Yasuhiko TAKEMURA	
		Examiner Name		K. Horney	
Group Art Unit		2871			
TOTAL AMOUNT OF PAYMENT		\$5,176.00			
METHOD OF PAYMENT (check one)		Attorney Docket Number 0756-2061			
		FEE CALCULATION (continued)			
		3. ADDITIONAL FEES			
		Large Entity		Small Entity	
1. [X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No. 19-2380 Deposit Account Name: SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, PC		Fee Code	Fee (\$)	Fee Code	Fee (\$)
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance		105 127	130 50	205 227	65 25
2. [X] Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		139 147	130 2,520	139 147	130 2,520
		112	920*	112	920*
		113	1,840*	113	1,840*
		115 116 117 118 128 119 120 121 138	110 380 870 1,360 1,850 300 300 260 1,510	215 216 217 218 228 219 220 221 138	55 190 435 680 925 150 150 130 1,510
		140 141 142 143 144 122 123	110 1,210 1,210 430 580 130 50	240 241 242 243 244 122 123	55 605 605 215 290 130 50
<b>FEE CALCULATION</b>					
<b>1. BASIC FILING FEE</b> Large Entity      Small Entity					
Fee Code	Fee (\$)	Fee Description	Fee Paid		
101	760	201	380	Utility filing fee	[760]
106	310	206	155	Design filing fee	[ ]
107	480	207	240	Plant filing fee	[ ]
108	760	208	380	Reissue filing fee	[ ]
114	150	214	75	Provisional filing fee	[ ]
		<b>SUBTOTAL (1)</b>		\$760.00	
<b>2. EXTRA CLAIM FEES</b> Extra Claims      Fee from Below      Fee Paid					
Total Claims	66 - 20**	= 46 X \$18.00	\$ 828.00		
Independent Claims	49 - 3**	= 46 X \$78.00	\$3,588.00		
Multiple Dependent Claims		\$260.00	= \$		
** or number previously paid, if greater, For Reissues, see below					
Large Entity      Small Entity					
Fee Code	Fee (\$)	Fee Description			
103	18	203	9	Claims in excess of 20	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claim	
109	78	209	39	*Reissue independent claims over original patent	
110	18	210	9	*Reissue claims in excess of 20 and over original patent	
		<b>SUBTOTAL (2)</b>		\$4,416.00	
<b>SUBMITTED BY</b> Typed or Printed Name					Complete (if applicable)
Eric J. Robinson					Reg. Number 38,285
Signature				Date December 3, 1999	Deposit Account User ID 19-2380

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.